

The Prism Operator

1 Singular Homology

The *standard n -simplex* $\Delta^n \subset \mathbb{R}^{n+1}$ is the set

$$\Delta^n = \{(t_0, t_1, \dots, t_n) \in \mathbb{R}^{n+1} \mid \sum_{i=0}^n t_i = 1, \quad t_i \geq 0, \quad 0 \leq i \leq n\}.$$

A *singular n -simplex* in a topological space X is a map $\sigma^n: \Delta^n \rightarrow X$. Denote the free abelian group generated by singular simplices by $C_n(X)$ – thus elements σ of $C_n(X)$, known as *singular n -chains*, are formal finite sums $\sigma = \sum_i n_i \sigma_i$, $n_i \in \mathbb{Z}$.

Let $F_i^n: \Delta^{n-1} \rightarrow \Delta^n$ be the map

$$F_i^n(t_0, \dots, t_{i-1}, t_i, \dots, t_{n-1}) = (t_0, \dots, t_{i-1}, 0, t_i, \dots, t_{n-1}). \quad (1)$$

The *boundary map* $\partial_n = \partial: C_n(X) \rightarrow C_{n-1}(X)$ is the homomorphism defined on singular simplices by

$$\partial_n \sigma^n = \sum_{i=0}^n (-1)^i \sigma^n \circ F_i^n, \quad n \geq 0.$$

We also let $\partial_0 = 0$. When there is no danger of confusion, we often omit the reference to dimension and simply write σ , ∂ , etc.

Proposition 1. *The boundary maps satisfy $\partial_{n-1} \partial_n = 0$, $n \geq 1$.*

Proof. Let $j < i$. We compute

$$\begin{aligned} F_i^n \circ F_j^{n-1}(t_0, \dots, t_{n-2}) &= (t_0, \dots, t_{j-1}, 0, t_j, \dots, t_{i-2}, 0, t_{i-1}, \dots, t_{n-2}) \\ &= F_j^n \circ F_{i-1}^{n-1}(t_0, \dots, t_{n-2}). \end{aligned}$$

Hence

$$\begin{aligned} \partial_{n-1}(\partial_n \sigma) &= \sum_{i=0}^n \sum_{j=0}^{n-1} (-1)^{i+j} \sigma \circ F_i^n \circ F_j^{n-1} \\ &= \sum_{j=0}^{n-1} \sum_{i=0}^j (-1)^{i+j} \sigma \circ F_i^n \circ F_j^{n-1} + \sum_{j=0}^{n-1} \sum_{i=j+1}^n (-1)^{i+j} \sigma \circ F_i^n \circ F_j^{n-1} \quad (2) \\ &= \sum_{j=0}^{n-1} \sum_{i=0}^j (-1)^{i+j} \sigma \circ F_i^n \circ F_j^{n-1} + \sum_{j=0}^{n-1} \sum_{i=j+1}^n (-1)^{i+j} \sigma \circ F_j^n \circ F_{i-1}^{n-1}. \end{aligned}$$

But

$$\begin{aligned}
\sum_{j=0}^{n-1} \sum_{i=j+1}^n (-1)^{i+j} \sigma \circ F_j^n \circ F_{i-1}^{n-1} &= \sum_{j=0}^{n-1} \sum_{i=j}^{n-1} (-1)^{i+j+1} \sigma \circ F_j^n \circ F_i^{n-1} \\
&= \sum_{i=0}^{n-1} \sum_{j=i}^{n-1} (-1)^{i+j+1} \sigma \circ F_i^n \circ F_j^{n-1} = \sum_{j=0}^{n-1} \sum_{i=0}^j (-1)^{i+j+1} \sigma \circ F_j^n \circ F_i^{n-1}.
\end{aligned} \tag{3}$$

Now equations (2) and (3) together prove the claim. \square

As a corollary, the groups $C_*(X)$ alongside the boundary maps ∂ form a chain complex

$$\dots \xrightarrow{\partial_{n+2}} C_{n+1}(X) \xrightarrow{\partial_{n+1}} C_n(X) \xrightarrow{\partial_n} C_{n-1}(X) \xrightarrow{\partial_{n-1}} \dots,$$

called the *singular chain complex on X*. Singular chains belonging to $Z_n(X) = \ker \partial_n$ are called *n-cycles* while those in $B_n(X) = \text{Im } \partial_{n+1}$ are *n-boundaries*. Obviously, $B_n(X) \subset Z_n(X)$, so that the *singular homology groups*

$$H_n(X) = Z_n(X)/B_n(X), \quad n \geq 0, \tag{4}$$

of X are well defined.

A map $f: X \rightarrow Y$ induces a map on singular simplices by composition,

$$f_{\#}(\sigma^n) = f \circ \sigma^n, \quad \text{where } \sigma^n: \Delta^n \rightarrow X.$$

We extend $f_{\#}$ linearly to maps $f_{\#}: C_n(X) \rightarrow C_n(Y)$, $n \geq 0$.

Proposition 2. *The maps $f_{\#}: C_n(X) \rightarrow C_n(Y)$ are chain maps; $f_{\#} \circ \partial_n = \partial_n \circ f_{\#}$, $n \geq 0$.*

Proof. By linearity it suffices to show that

$$f_{\#}(\partial_n \sigma) = \partial_n(f_{\#} \sigma)$$

for a singular simplex σ on X . We compute

$$\begin{aligned}
f_{\#}(\partial_n \sigma) &= \sum_{i=0}^n (-1)^i f_{\#}(\sigma \circ F_i^n) = \sum_{i=0}^n (-1)^i f \circ (\sigma \circ F_i^n) \\
&= \sum_{i=0}^n (-1)^i (f \circ \sigma) \circ F_i^n = \partial_n(f \circ \sigma) = \partial_n(f_{\#} \sigma).
\end{aligned}$$

\square

Thus by Proposition 2, the maps $f_{\#}$ descend to maps

$$f_{\star}: H_n(X) \rightarrow H_n(Y), \quad n \geq 0,$$

in homology by the prescription $f_{\star}[\sigma] = [f_{\#} \sigma]$, where $\sigma \in Z_n(X)$. As is easily verified, f_{\star} yields a well-defined map between homology classes.

2 Homotopies

Maps $f, g: X \rightarrow Y$ are homotopic provided there is a homotopy connecting f to g , that is, a map $H: X \times I \rightarrow Y$ with $H(x, 0) = f(x)$ and $H(x, 1) = g(x)$ for all $x \in X$. Here $I = [0, 1]$ denotes the closed unit interval.

Our goal is to show that homotopic maps induce identical maps in homology. For this we start by defining maps $E_i^n: \Delta^{n+1} \rightarrow \Delta^n$ and $S_i: \Delta^{n+1} \rightarrow I$, $0 \leq i \leq n$, by

$$E_i^n(t_0, t_1, \dots, t_{n+1}) = (t_0, \dots, t_{i-1}, t_i + t_{i+1}, t_{i+2}, \dots, t_{n+1}), \quad (5)$$

$$S_i(t_0, t_1, \dots, t_{n+1}) = t_{i+1} + \dots + t_{n+1}. \quad (6)$$

Proposition 3. *Let F_i^n, E_i^n, S_i be as in equations (1), (5), and (6). Then*

$$E_i^n \circ F_j^{n+1} = \begin{cases} F_{j-1}^n \circ E_i^{n-1}, & i \leq j - 2; \\ F_j^n \circ E_{i-1}^{n-1}, & i \geq j + 1; \end{cases} \quad (7)$$

$$E_i^n \circ F_i^{n+1} = E_i^n \circ F_{i+1}^{n+1} = id; \quad (8)$$

$$S_i \circ F_j^{n+1} = \begin{cases} S_i, & \text{if } i < j; \\ S_{i-1}, & \text{if } i \geq j. \end{cases} \quad (9)$$

Proof. The proof is based on direct computations. Assuming that $i \leq j - 2$, we have

$$\begin{aligned} E_i^n \circ F_j^{n+1}(t_0, \dots, t_n) &= E_i^n(t_0, \dots, t_{j-1}, 0, t_j, \dots, t_n) \\ &= (t_0, \dots, t_{i-1}, t_i + t_{i+1}, t_{i+2}, \dots, t_{j-1}, 0, t_j, \dots, t_n) \\ &= F_{j-1}^n(t_0, \dots, t_{i-1}, t_i + t_{i+1}, t_{i+2}, \dots, t_{j-1}, t_j, \dots, t_n) \\ &= F_{j-1}^n \circ E_i^{n-1}(t_0, \dots, t_{i-1}, t_i + t_{i+1}, t_{i+2}, \dots, t_{j-1}, t_j, \dots, t_n), \end{aligned}$$

which proves the first equation in (7).

Next assume that $i \geq j + 1$. We compute

$$\begin{aligned} E_i^n \circ F_j^{n+1}(t_0, \dots, t_n) &= E_i^n(t_0, \dots, t_{j-1}, 0, t_j, \dots, t_n) \\ &= (t_0, \dots, t_{j-1}, 0, t_j, \dots, t_{i-2}, t_{i-1} + t_i, t_{i+1}, \dots, t_n) \\ &= F_j^n(t_0, \dots, t_{j-1}, t_j, \dots, t_{i-2}, t_{i-1} + t_i, t_{i+1}, \dots, t_n) \\ &= F_j^n \circ E_{i-1}^{n-1}(t_0, \dots, t_{j-1}, t_j, \dots, t_{i-2}, t_{i-1}, t_i, t_{i+1}, \dots, t_n). \end{aligned}$$

Thus the second equation in (7) holds true.

Now let $j = i$ or $j = i + 1$. In each instance we have that

$$\begin{aligned} E_i^n \circ F_j^{n+1}(t_0, \dots, t_n) &= E_i^n(t_0, \dots, t_{j-1}, 0, t_j, \dots, t_n) \\ &= (t_0, \dots, t_{j-1}, t_j, \dots, t_n), \end{aligned}$$

which proves (8).

Finally, we compute

$$\begin{aligned} S_i \circ F_j^{n+1}(t_0, \dots, t_n) &= S_i(t_0, \dots, t_{j-1}, 0, t_j, \dots, t_n) \\ &= \begin{cases} t_{i+1} + \dots + t_n, & \text{if } i < j; \\ t_i + \dots + t_n, & \text{if } i \geq j. \end{cases} \end{aligned} \quad (10)$$

This concludes the proof of the Proposition. □ QED

Now given a map $H: X \times I \rightarrow Y$, the *prism operator* $P_H: C_n(X) \rightarrow C_{n+1}(Y)$, $n \geq 0$, associated with H is the homomorphisms defined on singular simplices by

$$P_H(\sigma^n) = \sum_{i=0}^n (-1)^i H(\sigma^n \circ E_i^n, S_i), \quad (11)$$

that is,

$$P_H(\sigma^n)(t_0, \dots, t_{n+1}) = \sum_{i=0}^n (-1)^i H(\sigma^n(t_0, \dots, t_{i-1}, t_i + t_{i+1}, \dots, t_{n+1}), t_{i+1} + \dots + t_{n+1}).$$

We compute

$$\begin{aligned} \partial P_H(\sigma^n) &= \sum_{i=0}^n (-1)^i \partial(H(\sigma^n \circ E_i^n, S_i)) \\ &= \sum_{i=0}^n \sum_{j=0}^{n+1} (-1)^{i+j} H(\sigma^n \circ E_i^n \circ F_j^{n+1}, S_i \circ F_j^{n+1}). \end{aligned} \quad (12)$$

By proposition 3,

$$\sigma^n \circ E_i^n \circ F_{i+1}^{n+1} = \sigma^n \circ E_{i+1}^n \circ F_{i+1}^{n+1}, \quad S_i \circ F_{i+1}^{n+1} = S_{i+1} \circ F_{i+1}^{n+1}.$$

Hence the terms in (12) corresponding to the index values $i = k$, $j = k+1$ and $i = k+1$,

$j = k + 1$, $0 \leq k \leq n - 1$, cancel pairwise. Thus (12) reduces to

$$\begin{aligned}
\partial P_H(\sigma^n) &= H(\sigma^n, 1) - H(\sigma^n, 0) + \sum_{i=1}^n \sum_{j=0}^{i-1} (-1)^{i+j} H(\sigma^n \circ E_i^n \circ F_j^{n+1}, S_i \circ F_j^{n+1}) \\
&\quad + \sum_{i=0}^{n-1} \sum_{j=i+2}^{n+1} (-1)^{i+j} H(\sigma^n \circ E_i^n \circ F_j^{n+1}, S_i \circ F_j^{n+1}), \\
&= H(\sigma^n, 1) - H(\sigma^n, 0) + \sum_{i=1}^n \sum_{j=0}^{i-1} (-1)^{i+j} H(\sigma^n \circ F_j^n \circ E_{i-1}^{n-1}, S_{i-1}) \\
&\quad + \sum_{i=0}^{n-1} \sum_{j=i+2}^{n+1} (-1)^{i+j} H(\sigma^n \circ F_{j-1}^n \circ E_i^{n-1}, S_i), \\
&= H(\sigma^n, 1) - H(\sigma^n, 0) + \sum_{i=0}^{n-1} \sum_{j=0}^i (-1)^{i+j+1} H(\sigma^n \circ F_j^n \circ E_i^{n-1}, S_i) \\
&\quad + \sum_{i=0}^{n-1} \sum_{j=i+1}^n (-1)^{i+j+1} H(\sigma^n \circ F_j^n \circ E_i^{n-1}, S_i) \\
&= H(\sigma^n, 1) - H(\sigma^n, 0) - \sum_{i=0}^{n-1} \sum_{j=0}^n (-1)^{i+j} H(\sigma^n \circ F_j^n \circ E_i^{n-1}, S_i) \\
&= H(\sigma^n, 1) - H(\sigma^n, 0) - P_H\left(\sum_{j=0}^n (-1)^j \sigma^n \circ F_j^n\right) \\
&= H(\sigma^n, 1) - H(\sigma^n, 0) - P_H(\partial \sigma^n),
\end{aligned} \tag{13}$$

where in the second step we used the equations of Proposition 3. In the above expressions the first and second terms on the right-hand side arise from the summands in (12) corresponding to the index values $i = j = 0$ and $i = n$, $j = n + 1$, respectively.

Lemma 4. *Let $H: X \times I \rightarrow Y$ be a homotopy between maps $f: X \rightarrow Y$ and $g: X \rightarrow Y$, and let P_H be the prism operator associated with H . Then*

$$g_{\#}(\sigma^n) - f_{\#}(\sigma^n) = \partial(P_H \sigma^n) + P_H(\partial \sigma^n), \quad \text{for all } \sigma^n \in C_n(X), n \geq 0. \tag{14}$$

Proof. Now $H(\cdot, 0) = f$, $H(\cdot, 1) = g$, so the result immediately follows from the computation in (13). \square

In general, a *chain complex* is composed of a sequence $\mathbf{C} = \{C_n, \partial_n\}$ of abelian groups C_n , $n \in \mathbb{Z}$, and homomorphisms $\partial_n: C_n \rightarrow C_{n-1}$ such that $\partial_n \circ \partial_{n-1} = 0$. Write

$\ker \partial_n = Z_n(\mathbf{C})$, $\text{Im } \partial_{n+1} = B_n(\mathbf{C})$. Elements of $Z_n(\mathbf{C})$ and $B_n(\mathbf{C})$ are known as n-cycles and n-boundaries, respectively. The quotient group

$$H_n(\mathbf{C}) = \frac{Z_n(\mathbf{C})}{B_n(\mathbf{C})}$$

is called the n^{th} homology group of \mathbf{C} .

A *chain map* $\mathbf{f} = \{f_n\}$ between two chain complexes $\mathbf{C} = \{C_n, \partial_n\}$, $\mathbf{D} = \{D_n, \partial'_n\}$ consists of homomorphisms $f_n: C_n \rightarrow D_n$ with $\partial'_n f_n = f_{n-1} \partial_n$. Clearly a chain map sends n-cycles/n-boundaries in \mathbf{C} to n-cycles/n-boundaries in \mathbf{D} . Consequently, a chain map $\mathbf{f} = \{f_n\}$ induces well-defined maps

$$f_{n*}: H_n(\mathbf{C}) \rightarrow H_n(\mathbf{D})$$

in homology by $f_{n*}[\sigma] = [f_n \sigma]$, where the square brackets indicate the class of an n-cycle in homology.

Two chain maps $\mathbf{f} = \{f_n\}$, $\mathbf{g} = \{g_n\}$ are *chain homotopic* provided that there are homomorphisms $h_n: C_n \rightarrow D_{n+1}$ so that

$$f_n - g_n = h_{n-1} \partial_n \pm \partial'_{n+1} h_n. \quad (15)$$

Given a n-cycle σ in \mathbf{C} , definition (15) implies that

$$f_n \sigma = g_n \sigma \pm \partial'_{n+1} (h_n \sigma),$$

so that the difference between $f_n \sigma$ and $g_n \sigma$ is a boundary in \mathbf{D} . It follows that \mathbf{f} and \mathbf{g} induce identical mappings in homology, $f_{n*} = g_{n*}$ for all n .

Theorem 5. *Let $H: X \times I \rightarrow Y$ be a homotopy between maps $f: X \rightarrow Y$ and $g: X \rightarrow Y$, and let P_H be the prism operator associated with H . Then P_H provides a chain homotopy between the chain maps $f_{\#}, g_{\#}: C_*(X) \rightarrow C_*(Y)$. Thus f and g induce identical maps in singular homology, $f_* = g_*: H_*(X) \rightarrow H_*(Y)$.*

The proof of Theorem 5 is a direct consequence of Lemma 4.

Recall that spaces X , Y are homotopy equivalent if there are maps $f: X \rightarrow Y$, $g: Y \rightarrow X$ so that the compositions $g \circ f$ and $f \circ g$ are homotopic to the identity maps on X and Y , respectively.

Corollary 6. *Suppose X and Y are homotopy equivalent under $f: X \rightarrow Y$. Then $f_*: H_*(X) \rightarrow H_*(Y)$ is an isomorphism.*